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PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	9632-056-999	Total Pages	197
	First Named Inventor or Application Identifier			
	Wahl et al.			
	Express Mail Label No.	EV 335 856 349 US		

APPLICATION ELEMENTS <i>See MPEP chapters 600 concerning utility patent application contents.</i>	Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLICATION Alexandria, VA 22313-1450
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| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)
<i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 150]
<i>(preferred arrangement set forth below, MPEP 1503.01)</i>
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description of the Invention (including drawings, if filed)
- Claim(s)
- Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 10]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration (unexecuted) [Total Sheets 2]
a. <input type="checkbox"/> Newly executed (original or copy)
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 18 completed)</i>
i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i>
a. <input type="checkbox"/> Computer Readable Form (CRF)
b. <input type="checkbox"/> Specification Sequence Listing on:
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
ii. <input type="checkbox"/> paper
c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
|--|--|

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment [Total Sheets: 32]
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or it's equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: This application claims benefit of U.S. provisional application No.: 60/400,404; filed July 31, 2002.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) | of prior application No.: filed

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number		20583 <i>Insert Customer No. or Attach bar code label here</i>		And <input checked="" type="checkbox"/> Correspondence address below	
NAME	Pennie & Edmonds LLP				
ADDRESS	1155 Avenue of the Americas				
CITY	New York	STATE	NY	ZIP CODE	10036
COUNTRY	United States	TELEPHONE	(212) 790-9090	FAX	(212) 869-8864

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

NY2: 1452112.1

PENNIE & EDMONDS LLP
 COUNSELORS AT LAW
 1155 Avenue of the Americas
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ATTORNEY DOCKET NO. 9632-056-999

Date: July 30, 2003

Commissioner for Patents
 P.O. Box 1450
 Mail Stop PATENT APPLICATION
 Alexandria, VA 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Wahl et al.

Executed on:

Title of Invention: **ANTI-CD20 ANTIBODY-DRUG CONJUGATES FOR THE TREATMENT OF
 CANCER AND IMMUNE DISORDERS**

PATENT APPLICATION FEE VALUE*

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	39	- 20	19	\$18.00 each	\$ 342.00
Independent	39	- 3	36	\$84.00 each	\$ 3,024.00
Minimum Fee					\$ 750.00
Multiple Dependency Fee					\$ 0
If Applicable (\$280.00)					
Total					\$ 4,116.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					\$ 2,058.00
Total Filing Fee					\$ 2,058.00

☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

☒ Priority of U.S. provisional application no.: 60/400,404 filed on July 31, 2002 is claimed under 35 U.S.C. § 119(e).

☐ The certified copy of the priority application has been filed in application no. filed on .

☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed on .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Adriane M. Antler 32,605
 Adriane M. Antler Reg. No.
 PENNIE & EDMONDS LLP

Enclosures

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

* In view of the Preliminary Amendment submitted herewith